



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:  
Uresh K. Vahalia

Serial No. 10/032,161

Filing Date: 12/21/2001

For: File Server System Providing Direct Data Sharing Between Clients  
with a Server Acting as an Arbiter and Coordinator.

Attn. Docket No. EMC-98-092CON1

Mail Stop - Issue Fee  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, Va. 22313-1450

**CERTIFICATE OF MAILING BY EXPRESS MAIL**  
**Date of Deposit: August 29, 2006**

Sir:

Kindly find enclosed the following documents;

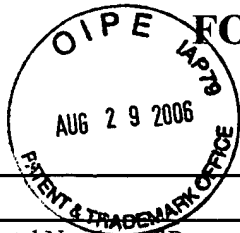
1. Express Mail Certificate - **Label No. ET 759242916 US**
2. Transmittal Form (2 copies) (2 page);
3. Notice of Allowance (3 pages)
4. Fee Transmittal PTOL-85 (2 copies) (2 pages);
5. Change of Correspondence Address PTO/SB/122 (1 page);
6. Fee Address Indication Form PTO/SB/47 (1 page);and
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Carl Tegtmeier

ET 759242916 US

# TRANSMITTAL FORM



Application No.:	10/032,161
Filing Date:	December 21, 2001
First Named Inventor:	Uresh K. Vahalia
Confirmation No.:	5930
Group Art Unit	2154
Examiner:	Nguyen, Dustin
Customer No.	24227
Docket No.	EMC-98-092CON1

Total Number of Pages in this Submission: 10

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Authorization for the Commissioner to charge Deposit Account No. 05-0889 for fees associated with this transaction (in duplicate)  <input type="checkbox"/> Amendment  <input type="checkbox"/> After Final Affidavits/Decl. <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Extension of Time Request for 3 Months <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Recordation Cover Sheet  <input type="checkbox"/> Declaration/Power of Attorney <input type="checkbox"/> Associate Power of Attorney  <input type="checkbox"/> Revocation of Power of Attorney <input type="checkbox"/> Formal Drawings <input type="checkbox"/> Letter to Official Draftsperson with sheets of Formal Drawings, Figs. 1 through , labeled. <input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review" <input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)] (in duplicate)  <input type="checkbox"/> Continued Prosecution Application (CPA) <input checked="" type="checkbox"/> Form PTOL-85B, Part B -Issue Fee Payment Transmittal," (in duplicate)  <input type="checkbox"/> Status Inquiry <input type="checkbox"/> Certificate of First Class Mailing  <input checked="" type="checkbox"/> Certificate of Express Mail Mailing <input checked="" type="checkbox"/> Postcard  <input type="checkbox"/> Additional Enclosures:
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## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

August 29, 2006

Date

Tel: (914) 798 8505

Carl A. Giordano, Esq. (Reg. No. 41,780)  
 EMC Corporation  
 Office of the General Counsel  
 44 S. Broadway, 7<sup>th</sup> flr.  
 White Plains, NY 10601

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8]

I hereby certify that this correspondence and the above-referenced documents are being:

- ☒ Deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail, label number ET 759242916 US in an envelope addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703)

August 29, 2006

Date

Signature

Carl Tegmeier

Typed or printed name of person signing certificate



### Notice of Allowability

Application No.

10/032,161

Examiner

Dustin Nguyen

Applicant(s)

VAHALIA ET AL.

Art Unit

2154

**- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-**  
All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 06/02/2006.
2. ☒ The allowed claim(s) is/are 50, 52-61 and 63-65 now renumbered as 1-14.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some\* c) ☐ None of the:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.  
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  
1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.  
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.  
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

J. L. ZOLLANSBEE  
SENIOR PATENT EXAMINER  
ART UNIT 2100